## Math 321: Infinity, I

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Spring 2021

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What if instead of just you, you show up with 1000 friends? Can he still fit you all in (without making you share a room)?

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- An infinite train appears. There are cabs n for each n ∈ N and each cab has seats s for each s ∈ N. Can you fit them all in?

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- An infinite train appears. There are cabs n for each n ∈ N and each cab has seats s for each s ∈ N. Can you fit them all in?
- A half-marathon ends at the hotel and the runners, densely packed with one runner for each positive rational number, each need rooms. Can you fit them all in?

# Cantor's cruiseship

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Before we answer this, let's make precise the mathematical ideas we've been playing with.

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Let's prove this. Suppose we have injections  $f : A \to \mathbb{N}$  and  $g : B \to \mathbb{N}$ . How do we construct an injection  $h : A \cup B \to \mathbb{N}$ ? Define h by cases: if  $x \in A$ , then  $h(x) = 2 \cdot f(x)$ , and if  $x \in B \setminus A$  then  $h(x) = 2 \cdot g(x) + 1$ . That is, put A in the even rooms and put whatever remains of B in the odd rooms. It's clear h is one-to-one.

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Alternatively, we can think of the proof in terms of enumerations: A and B are both countable, so can enumerate their elements as  $a_0, a_1, \dots, a_n, \dots$  and  $b_0, b_1, \dots, b_n, \dots$ 

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- An enumeration is a listing of elements index by natural numbers:  $x_0, x_1, \ldots$
- Formally, an enumeration is a function whose domain is  $\mathbb{N}$ . (We write e.g.  $x_n$  rather than f(n).)

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 $\bullet\,$  For example, we can enumerate  $\mathbb Z$  as:

$$0, 1, -1, 2, -2, 3, -3, \cdots$$

So  $\ensuremath{\mathbb{Z}}$  is countable.

 $\mathbb{N} \times \mathbb{N}$  is countable.

Proof 1: Define  $f : \mathbb{N} \times \mathbb{N} \to \mathbb{N}$  as  $f(a, b) = 3^a 5^b$ . This is one-to-one by the fundamental theorem of arithmetic.

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Proof 2: We construct a bijection  $p : \mathbb{N} \times \mathbb{N} \to \mathbb{N}$  which is a polynomial. The idea is, we enumerate lattice points in the plane by starting at (0,0) and working outward in diagonal lines. How many points have been visited before we reach the point (x, y)?

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The previous diagonals give  $1+2+\cdots+(x+y)$  points. As we proved a few chapters ago, this sum is equal to (x+y)(x+y+1)/2. And (x,y) is the (y+1)-th point on its diagonal.

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#### $\mathbb{Q}$ is countable.

We can think of a rational number p/q written in simplest form as a pair (p, q) of integers. Since  $\mathbb{N} \times \mathbb{N}$  and  $\mathbb{Z}$  are both countable we have  $\mathbb{Z} \times \mathbb{Z}$  and thus also  $\mathbb{Q}$  are countable.

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If  $a \in A$ , let *n* be the smallest number so that  $a \in A_n$ . Then set  $f(a) = (n, f_n(a))$ . This is one-to-one because if  $a \neq b$  are elements of  $A_n$  then  $f_n(a) \neq f_n(b)$ .

A countable union of countable sets is countable. That is, if  $A_0, A_1, \ldots, A_n, \ldots$  are countable sets then so is  $A = \bigcup_{n=0}^{\infty} A_n$ . Composing f with the bijection  $p : \mathbb{N} \times \mathbb{N} \to \mathbb{N}$ gives a one-to-one function from A to  $\mathbb{N}$ , showing that A is countable.

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Let's construct a one-to-one function  $f : \mathbb{N}^* \to \mathbb{N}$ . Send the empty sequence with zero elements to 0. Given a nonempty sequence  $\vec{s} = s_0, s_1, \ldots, s_n$  of natural numbers set

 $f(\vec{s}) = 2^{s_0+1} \cdots 3^{s_1+1} \cdots p_n^{s_n+1},$ 

i.e. the product of the *i*-th prime  $p_i$  to the power  $s_i + 1$ , for  $i \le n$ . This map is one-to-one by the fundamental theorem of arithmetic.

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 $\mathbb{N}^k \subseteq \mathbb{N}^*$ , since we can think of it as consisting of the length k sequences. So restricting f to  $\mathbb{N}^k$  gives a one-to-one function.

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 $f(\vec{s}) = 2^{s_0+1} \cdots 3^{s_1+1} \cdots p_n^{s_n+1},$ 

i.e. the product of the *i*-th prime  $p_i$  to the power  $s_i + 1$ , for  $i \le n$ . This map is one-to-one by the fundamental theorem of arithmetic. (Note we need the +1s for this!)

 $\mathbb{N}^k$ , the set of k-tuples of natural numbers, is countable for each finite k.

 $\mathbb{N}^k \subseteq \mathbb{N}^*$ , since we can think of it as consisting of the length k sequences. So restricting f to  $\mathbb{N}^k$  gives a one-to-one function.

#### Corollary

If A is countable, so are  $A \times A$ ,  $A^k$ , and  $A^*$ .

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#### Corollary

If A is countable, so are  $A \times A$ ,  $A^k$ , and  $A^*$ .

We can use an enumeration of A to translate the results about  $\mathbb{N} \times \mathbb{N}$ ,  $\mathbb{N}^k$ , and  $\mathbb{N}^*$  to A.

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We've been seeing a lot of examples of countable sets.

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- Q
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- The set of possible books written in the English language.

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Having asked this, let's return to Cantor's cruise ship.

### Theorem (Cantor, 1874)

 $\mathbb{R}$  is uncountable. That is, there is no enumeration consisting of all real numbers.

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We'll prove this by showing that given any enumeration  $x_0, x_1, \ldots$  of real numbers there is a real number d which is not part of the enumeration.

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Define d to be the real number between 0 and 1 with the decimal expansion

 $0.d_0d_1d_2\cdots$ 

given by the rule:  $d_n = 5$  if the *n*-th digit of  $x_n$ 

is 4, else  $d_n = 4$ .

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K Williams (U. Hawai'i @ Mānoa)

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There is a small detail to be addressed.

- Some numbers have *two* decimal expansions.
- For example,  $1 = 1.000 \dots = 0.999 \dots$
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Because d only has 4s and 5s, it avoids this issue. It has a unique decimal expansion.