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University of Hawai'i at Mānoa

Boise Extravaganza in Set Theory 2021 June 19



K Williams (U. Hawai'i @ Mānoa)

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- (Usuba) The grounds are downward set-directed: Given a set-indexed collection W_i of grounds there is a ground W with W ⊆ W_i for each i.
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 (We seem to need AC; Gitman–Johnstone and Usuba have partial results.)
- All worlds in the generic multiverse are at most two steps away: *M* is a forcing extension of a ground of *N*.

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- The bedrock axiom V = M asserts there are no nontrivial grounds.

The bedrock axiom is true in, e.g., ${\rm L}$ while it is destroyed by set forcing.

The mantle

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- The mantle is an inner model of ZFC.
- The mantle is invariant under set forcing, and is indeed the largest set forcing-invariant inner model.
- The bedrock axiom V = M asserts there are no nontrivial grounds.
- (Reitz) You can class force the bedrock axiom.

Do a set-support iteration of lottery sums to generically make the GCH fail/succeed at each regular cardinal.

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- (V ⊇ M^{V[G]}) Consider x ∈ V[G] \ V. The forcing P is a progressively distributive product, so we can factor it into the product of a set-sized head and a sufficiently distributive tail so that the tail forcing could not add x. But then V[G^{tail}] is a ground which misses x.

The sequence of inner mantles M^i is defined inductively.

- $M^0 = V;$
- $\mathbf{M}^{i+1} = \mathbf{M}^{\mathbf{M}^i}$;
- $M^{\ell} = \bigcap_{i < \ell} M^{i}$ for limit ordinals ℓ .

The sequence stabilizes at η if η is least so that $M^{\eta+1} = M^{\eta}$.

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- (Reitz–W.) Every model of set theory is the η-th inner mantle of some class forcing extension, for every ordinal η.
- Thus, for any ordinal η it is consistent that the sequence of inner mantles stabilizes at exactly η.

Do an η^{\star} iteration of the FHR forcing.

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If M^i is a definable class, then M^{i+1} is a definable inner model of ZFC.

- Question (Fuchs-Hamkins-Reitz): Can this fail at limit stages? More precisely:
- Must M^{ℓ} be definable, if M^{i} is definable for all $i < \ell$?
- Must M^{ℓ} satisfy AC, if M^{i} is definable for all $i < \ell$?

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- Compare to the classical questions about iterated HOD, answered by Harrington and McAloon.

Set theoretic arbology



Boise is the City of Trees, so I'm obligated to use trees in this talk.

To answer the FHR questions, we need to precisely control which sets get into which inner mantles. For this we will use what I call tree iterations.

As a warmup, let's see how to get a set into $M^1 \mbox{ but not } M^2.$

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- Coding x into the Cohen pattern once gets it into $\rm HOD,$ but isn't enough to get it into $\rm M.$
- Instead, code it Ord often: force with the set-support product of Add^L(ℵ_{ω·ξ+n}, 1) for ξ ∈ Ord and n ∈ x.
- In L[x][c̄]: we can recover x in any ground by looking at the Cohen pattern in
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- However, no Cohen $c_{\omega \cdot \xi+n} \subseteq \aleph_{\omega \cdot \xi+n}$ survives into M. Can use this to check that $x \notin M^2$.

- Let's work over L, and use Cohen coding, where a set is coded by the pattern of which cardinals have a subset Cohen-generic over L.
- Add a Cohen real *x*, and let's control where *x* goes.
- To get x into M^2 we'd want to in turn code each $c_{\omega \cdot \xi+n}$ into the Cohen pattern cofinally often, and so on to get even deeper.
- So there's a tree-like structure to the order of the coding.

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An aside: when you need Ord much space



Hilbert's Ord-Hotel

- To code a set into the mantle, we need Ord much space.
- So if we're coding multiple sets into mantles, we need multiple Ord-sized regions for coding.
- In a region R: code whether i ∈ x by whether the i-th cardinal in R contains a Cohen subset.

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- So if we're coding multiple sets into mantles, we need multiple Ord-sized regions for coding.
- In a region R: code whether i ∈ x by whether the i-th cardinal in R contains a Cohen subset.
- This is easily arranged, and if our forcings preserve cardinals then it is easy to do so in an absolute way.

Rather than do a linear iteration, we want to do an iteration \mathbb{P} along a tree \mathcal{T} .

- For convenience, will always do trivial forcing at the root stage.
- The generic at stage $s \in T$ should be generic over $V[G \upharpoonright < s]$
- If s₀ ≠ s₁ ∈ T have infimum t, then the generics at stage s₀ and s₁ should be mutually generic over V[G ↾ ≤t].

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For my context:

- All trees are well-founded.
- All supports are set-support.

Non-linear iterations have been studied before, e.g. by Groszek and Jech (1991). Specializing some of their work to my context, we can get:

- Safety Lemma: A tree iteration of Cohen coding forcings along a tree *T* only adds a Cohen subset to *α* if some iterand Q̂_s for a stage *s* ∈ *T* adds a Cohen to *α*, and this iterand is the only thing adding a Cohen.
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Let $T_{-1} \subseteq T$ be the subtree consisting of all non-leaf nodes. Using Reitz's technology of generalized Cohen iterations can see:

A tree iteration ℙ along T of Cohen coding forcings can be factored as
 (ℙ ↾ T₋₁) * ℝ where ℝ is a progressively distributive product.

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- Repeat with a stage for each $s \in {}^{\leq k}$ Ord.
- At each stage, use self-encoding forcing, an ω-iteration of products of Cohen forcing where each stage codes the previous generics. This ensures that if every Cohen set gets into an inner model, then the entire sequence is in the model.



- Call this tree-like coding by $\mathbb{T}_k(x)$ for short.
 - k = the height x = the set to code

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Recall: We want to code to get A^k into M^k but not deeper.

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- Then no outer model of ZF can define the truth predicate for L, as else it could define a bijection $\omega \rightarrow \text{Ord.}$
- So the class-forcing extension for the theorem cannot have M^ω as a definable class, as then it could define the truth predicate for L.

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You can do this coding truth-in-L construction in $\omega\text{-nonstandard}$ models.

Corollary (W.)

- There is ω-nonstandard N ⊨ ZFC so that, in N, M^k is a definable class if and only if k is standard.
- For any ω-nonstandard L ⊨ ZFC + V = L and any e ∈ ω^L there is a class forcing extension L[G] in which M^k is a definable class if and only if k < e + n for some standard n.

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• Is there anything special about ω ? Can the same results be obtained at any limit stage?

Thank you!

K Williams (U. Hawai'i @ Mānoa)

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